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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Felkl et al.
Serial No. : 10/031,196 Examiner: t/b/a
Filed : January 15, 2002 Group Art Unit: t/b/a
For : METHOD AND DEVICE FOR ROLLING A METAL STRIP BY
MEANS OF A SKIN-PASS ROLLING STAND

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

June 17, 2002

Date of Deposit

Bradley B. Geist

Attorney Name

Signature

27,551

PTO Reg. No.

June 17, 2002

Date of Signature

Commissioner for Patents
Washington DC 20231

Sir:

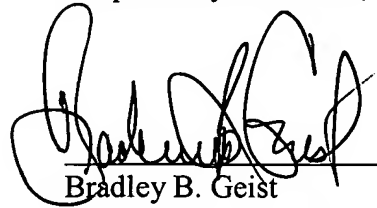
Responsive to the Notification of Defective Response dated May 30, 2002, enclosed is an accurate English language translation of the published German language application referenced above. The fee of \$130.00 for the filing of this translation is also enclosed.

Applicants previously submitted the English translation of the German language application as well as the Substitute Specification on January 15, 2002 and the
NY02:391218.1

appropriate fee. However, the Notification of Defective Response indicates that the originally submitted translation of the application contained "two sets of pages 2 and 2a and cannot be placed in chronological order." The electronic version of the translated application was submitted to the Patent Office in its original format, as transmitted by RWS translators to Applicants' attorney, a copy of which is enclosed. Said version of the translation contain pages 1 and 1a, and 2 and 2a simply as the result of a formatting defect. However, the substitute specification filed did not include this formatting defect and puts the patent application in its proper chronological page-numbering order. The comparison document submitted contains the additions and deletions of the language for both the published German application and U.S. versions.

Accordingly, Applicants believe that the papers as filed satisfy the requirements for the national stage of a PCT application and respectfully request that application papers filed under Serial No. 10/031,196 be accepted as the documents and instructions which were filed in accordance with the Rules for a 35 U.S.C. 371 submission.

Respectfully submitted,



Bradley B. Geist
Patent Office Reg. No. 27,551
Attorney for Applicants
(212) 408-2562

Enclosures

NY02:391218.1

BAKER BOTTS LLP

Please type a (+) inside this box → 

DT11 Rec'd PCT/PTO JUN 24 2002

10/031196 PCT

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/031,196
Filing Date	January 15, 2002
First Named Inventor	Hans-Joachim-Felkl
Group Art Unit	t/b/a
Examiner Name	t/b/a
Attorney Docket Number	A34882-PCT-USA

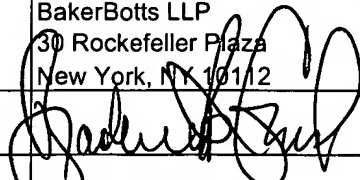
Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts/
Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers
(for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence
Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication
to Group
<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input type="checkbox"/> Other Enclosure(s) (please
identify below): |
|--|---|--|

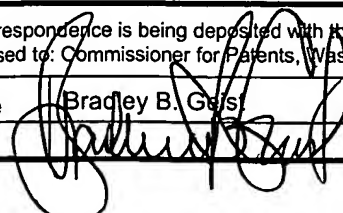
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	Att Name: Bradley B. Geist PTO Reg: 27,551
Signature		
Date	June 17, 2002	

CERTIFICATE OF MAILING

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Typed or printed name	Bradley B. Geist
Signature	
Date	June 17, 2002

BAKER BOTTS LLP**FREE TRANSMITTAL
for FY 2002**

JUN 24 2002

Patent fees are subject to annual revision.

PATENT & TRADEMARK OFFICE
DEPARTMENT OF COMMERCE
UNIT OF PAYMENT

(\$ 130

Complete if Known

Application Number	10/031,196
Filing Date	January 15, 2002
First Named Inventor	Hans-Joachim-Felkl
Examiner Name	t/b/a
Group Art Unit	t/b/a
Attorney Docket No.	A34882-PCT-USA

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-4377

Deposit
Account
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
20**	= 0	X	= 0	
Independent Claims	3**	= 0	X	= 0
Multiple Dependent				

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent

Reissue claims in excess of 20 over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	130
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130

SUBMITTED BY

Name (Print/Type)

Bradley B. Geist

Registration No.
(Attorney/Agent)

27,551

Complete (if applicable)

Telephone

(212) 408-2562

Signature

Date

June 17, 2002

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06/28/2002 GFREY1 00000125 10031196

01 FC:154

130.00 DP

BAKER BOTTS LLP

Attorney Docket Number: A34882-PCT-USA

Title:



METHOD AND DEVICE FOR ROLLING A METAL STRIP BY MEANS OF A SKIN-PASS ROLLING
STAND

Use Space Below for Additional Information:



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/031,196	FIRST NAMED APPLICANT Hans-Joachim Felkl	ATTY. DOCKET NO. A34882-PCT-USA
INTERNATIONAL APPLICATION NO. PCT/DE00/02172		
I.A. FILING DATE 07/04/2000		PRIORITY DATE 07/15/1999

Bradley B. Geist
 Baker Botts
 30 Rockefeller Plaza
 New York, NY 10112-4498

CONFIRMATION NO. 6298

371 FORMALITIES LETTER



OC000000008190371

Date Mailed: 05/30/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Assignee Statement
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Substitute Specification

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English.
- Translation of the application into English. The current translation of the application into English is defective as described below.
 - The translated published application is incorrectly numbered. The translation has two set of page 2 and two set of page 2a. The application cannot be placed in chronological order.

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** for English translation surcharge required.
- **\$130** for English translation surcharge not received in full.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,196	PCT/DE00/02172	A34882-PCT-USA

FORM PCT/DO/EO/916 (371 Formalities Notice)